

Form 603

Corporations Law
Section 671B

Notice of initial substantial holder

To: Company Name/Scheme **OPTHEA LTD**

ACN/ARSN **006 340 567**

1. Details of substantial holder (1)

Name **Bank of America Corporation and its related bodies corporate (See Appendix C)**

ACN/ARSN (if applicable)

The holder became a substantial holder on: **7 January 2020**

2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Persons' votes (5)	Voting power (6)
Ordinary	13,548,654	13,548,654	5.03%

3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
Merrill Lynch (Australia) Futures Ltd	Power to control voting and/or disposal of securities in its capacity as ultimate beneficial owner having a principal position.	Ordinary 9,067,074
Merrill Lynch International (Australia) Ltd	Borrowing of securities pursuant to a Prime Brokerage Agreement (see Appendix B), with power to control the voting and/or disposal of borrowed securities.	Ordinary 4,103,016
Merrill Lynch International	Borrowing of securities pursuant to a Prime Brokerage Agreement (see Appendix B), with power to control the voting and/or disposal of borrowed securities.	Ordinary 378,564

4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
Merrill Lynch (Australia) Futures Ltd	Merrill Lynch (Australia) Nominees Pty Ltd	Merrill Lynch (Australia) Futures Ltd	Ordinary 9,067,074
Merrill Lynch International (Australia) Ltd	Merrill Lynch (Australia) Nominees Pty Ltd	Merrill Lynch International (Australia) Ltd	Ordinary 4,103,016
Merrill Lynch International	Merrill Lynch (Australia) Nominees Pty Ltd	Merrill Lynch International	Ordinary 378,564

5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the four months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-cash	
Please see Annexure A.				

6. Associates

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ ARSN (if applicable)	Nature of association
Merrill Lynch (Australia) Futures Ltd	Related body corporate
Merrill Lynch (Australia) Nominees Pty Ltd	Related body corporate
Merrill Lynch International (Australia) Ltd	Related body corporate
Merrill Lynch International	Related body corporate

7. Addresses

The addresses of persons named in this form are as follows:

Name	Addresses
Bank of America Corporation	100 North Tryon Street, Charlotte, North Carolina, 28255, USA
Merrill Lynch (Australia) Futures Ltd	Level 38, Governor Phillip Tower, 1 Farrer Place, Sydney NSW 2000, Australia
Merrill Lynch (Australia) Nominees Pty Ltd	Level 19, 120 Collins St, Melbourne Vic 3000, Australia
Merrill Lynch International (Australia) Ltd	Level 38, Governor Phillip Tower, 1 Farrer Place, Sydney NSW 2000, Australia
Merrill Lynch International	2 King Edward Street, London, England, EC1A 1HQ, United Kingdom.

SIGNATURE

Print Name: Kelvin Kwok

Capacity: Authorised signatory

Sign Here:



Date: 9 January 2020

Appendix A

Date of change	Person whose relevant interest changed	Nature of change (4)	Consideration (5)	Class (6) of securities	Securities affected	Person's votes affected	Remark
9/9/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	78,455	78,455	Refer to App. B
9/9/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(25)	(25)	Refer to App. B
9/10/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(76,155)	(76,155)	Refer to App. B
9/10/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(149,985)	(149,985)	Refer to App. B
9/10/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(2,300)	(2,300)	Refer to App. B
9/11/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	152,310	152,310	Refer to App. B
9/12/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(1,880)	(1,880)	Refer to App. B
9/16/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(1,880)	(1,880)	Refer to App. B
9/16/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(10,189)	(10,189)	Refer to App. B
9/17/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	73,622	73,622	Refer to App. B
9/17/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(126,050)	(126,050)	Refer to App. B
9/18/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	48,019	48,019	Refer to App. B
9/18/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(54,262)	(54,262)	Refer to App. B
9/18/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(12,311)	(12,311)	Refer to App. B
9/18/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(73,622)	(73,622)	Refer to App. B
9/19/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(910)	(910)	Refer to App. B
9/20/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(4,650)	(4,650)	Refer to App. B
9/20/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(910)	(910)	Refer to App. B
9/23/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(41,549)	(41,549)	Refer to App. B

9/25/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(2,300)	(2,300)	Refer to App. B
9/25/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	206,572	206,572	Refer to App. B
10/3/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	2,415,094	2,415,094	Refer to App. B
10/4/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(206,572)	(206,572)	Refer to App. B
10/4/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(793,604)	(793,604)	Refer to App. B
10/8/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	361,031	361,031	Refer to App. B
10/9/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(361,031)	(361,031)	Refer to App. B
10/10/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	613,930	613,930	Refer to App. B
10/11/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(115,082)	(115,082)	Refer to App. B
10/14/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(7,354)	(7,354)	Refer to App. B
10/15/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(13,162)	(13,162)	Refer to App. B
10/16/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	62,696	62,696	Refer to App. B
10/16/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(76,083)	(76,083)	Refer to App. B
10/17/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(28,793)	(28,793)	Refer to App. B
10/18/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(19,126)	(19,126)	Refer to App. B
10/18/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(33,903)	(33,903)	Refer to App. B
10/21/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(92,304)	(92,304)	Refer to App. B
10/22/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	122,684	122,684	Refer to App. B
10/22/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(55,184)	(55,184)	Refer to App. B
10/22/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(74,104)	(74,104)	Refer to App. B
10/23/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(6,604)	(6,604)	Refer to App. B

10/23/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(122,684)	(122,684)	Refer to App. B
10/24/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(306,272)	(306,272)	Refer to App. B
10/24/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(864,108)	(864,108)	Refer to App. B
10/24/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(533,222)	(533,222)	Refer to App. B
10/25/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	930,069	930,069	Refer to App. B
10/25/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(297,147)	(297,147)	Refer to App. B
11/5/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	206,572	206,572	Refer to App. B
11/5/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(149,842)	(149,842)	Refer to App. B
11/7/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	152,365	152,365	Refer to App. B
11/11/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(11,695)	(11,695)	Refer to App. B
11/12/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(15,834)	(15,834)	Refer to App. B
11/13/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	1,500,936	1,500,936	Refer to App. B
11/14/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	97,373	97,373	Refer to App. B
11/15/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	929,220	929,220	Refer to App. B
11/20/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(8,041)	(8,041)	Refer to App. B
11/21/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	627,265	627,265	Refer to App. B
11/21/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(198,484)	(198,484)	Refer to App. B
11/21/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(206,572)	(206,572)	Refer to App. B
11/21/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(124,836)	(124,836)	Refer to App. B
11/21/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(89,332)	(89,332)	Refer to App. B
11/22/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(172,735)	(172,735)	Refer to App. B

11/25/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	71,507	71,507	Refer to App. B
11/25/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(78,111)	(78,111)	Refer to App. B
11/26/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(55,742)	(55,742)	Refer to App. B
11/27/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	62,346	62,346	Refer to App. B
11/28/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(330,897)	(330,897)	Refer to App. B
11/28/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(94,904)	(94,904)	Refer to App. B
11/28/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(627,265)	(627,265)	Refer to App. B
11/28/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(15,765)	(15,765)	Refer to App. B
11/28/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(62,346)	(62,346)	Refer to App. B
11/29/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(85,106)	(85,106)	Refer to App. B
12/2/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	155,173	155,173	Refer to App. B
12/2/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(161,777)	(161,777)	Refer to App. B
12/3/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(118,468)	(118,468)	Refer to App. B
12/04/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(8,684)	(8,684)	Refer to App. B
12/04/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	122,958	122,958	Refer to App. B
12/05/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(122,958)	(122,958)	Refer to App. B
12/05/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(650,515)	(650,515)	Refer to App. B
12/05/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(587,433)	(587,433)	Refer to App. B
12/05/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(28,021)	(28,021)	Refer to App. B
12/06/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(297,052)	(297,052)	Refer to App. B
12/06/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(91,755)	(91,755)	Refer to App. B

12/06/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	1,328,614	1,328,614	Refer to App. B
12/09/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(152,080)	(152,080)	Refer to App. B
12/09/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	270,430	270,430	Refer to App. B
12/10/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	270,357	270,357	Refer to App. B
12/12/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(8,458)	(8,458)	Refer to App. B
12/13/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(481,881)	(481,881)	Refer to App. B
12/13/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(270,430)	(270,430)	Refer to App. B
12/13/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	1,004,750	1,004,750	Refer to App. B
12/13/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(261,899)	(261,899)	Refer to App. B
12/16/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(694,653)	(694,653)	Refer to App. B
12/16/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	1,052,543	1,052,543	Refer to App. B
12/16/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(364,496)	(364,496)	Refer to App. B
12/17/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	1,121,168	1,121,168	Refer to App. B
12/18/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(640,254)	(640,254)	Refer to App. B
12/18/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(174,826)	(174,826)	Refer to App. B
12/19/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	783,702	783,702	Refer to App. B
12/20/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(467,996)	(467,996)	Refer to App. B
12/23/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(16,626)	(16,626)	Refer to App. B
12/23/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(315,706)	(315,706)	Refer to App. B
12/23/2019	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	783,824	783,824	Refer to App. B
12/27/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(6,606)	(6,606)	Refer to App. B

12/30/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(6,961)	(6,961)	Refer to App. B
12/31/2019	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(63,564)	(63,564)	Refer to App. B
01/02/2020	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(7,419)	(7,419)	Refer to App. B
01/03/2020	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(20,650)	(20,650)	Refer to App. B
01/06/2020	Merrill Lynch International (Australia) Limited	Securities borrowed	N/A	Ordinary	49,902	49,902	Refer to App. B
01/07/2020	Merrill Lynch International (Australia) Limited	Securities returned	N/A	Ordinary	(16,950)	(16,950)	Refer to App. B
9/9/2019	Merrill Lynch International	Securities borrowed	N/A	Ordinary	383,945	383,945	Refer to App. B
9/9/2019	Merrill Lynch International	Securities returned	N/A	Ordinary	(1)	(1)	Refer to App. B
9/9/2019	Merrill Lynch International	Securities returned	N/A	Ordinary	(383,944)	(383,944)	Refer to App. B
9/11/2019	Merrill Lynch International	Securities returned	N/A	Ordinary	(605)	(605)	Refer to App. B
9/18/2019	Merrill Lynch International	Securities returned	N/A	Ordinary	(305)	(305)	Refer to App. B
9/26/2019	Merrill Lynch International	Securities returned	N/A	Ordinary	(4,471)	(4,471)	Refer to App. B
12/27/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(209)	Ordinary	(70)	(70)	N/A
12/27/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(1,001)	Ordinary	(337)	(337)	N/A
12/27/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(25,200)	Ordinary	(8,489)	(8,489)	N/A
12/27/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(3,104)	Ordinary	(1,045)	(1,045)	N/A
12/30/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(15,093)	Ordinary	(4,885)	(4,885)	N/A
12/30/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(34)	Ordinary	(11)	(11)	N/A
12/30/2019	Merrill Lynch (Australia) Futures Ltd	BUY	20,326	Ordinary	6,646	6,646	N/A
12/30/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(18,087)	Ordinary	(5,914)	(5,914)	N/A
12/30/2019	Merrill Lynch (Australia) Futures Ltd	BUY	889	Ordinary	286	286	N/A

12/30/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(3,058)	Ordinary	(998)	(998)	N/A
12/31/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(4,289)	Ordinary	(1,449)	(1,449)	N/A
12/31/2019	Merrill Lynch (Australia) Futures Ltd	BUY	4,609	Ordinary	1,574	1,574	N/A
12/31/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(3,367)	Ordinary	(1,121)	(1,121)	N/A
12/31/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(1,192,247)	Ordinary	(395,179)	(395,179)	N/A
1/2/2020	Merrill Lynch (Australia) Futures Ltd	SELL	(2,148)	Ordinary	(727)	(727)	N/A
1/2/2020	Merrill Lynch (Australia) Futures Ltd	SELL	(1,584)	Ordinary	(539)	(539)	N/A
1/2/2020	Merrill Lynch (Australia) Futures Ltd	BUY	493	Ordinary	169	169	N/A
1/3/2020	Merrill Lynch (Australia) Futures Ltd	SELL	(1,047)	Ordinary	(364)	(364)	N/A
1/3/2020	Merrill Lynch (Australia) Futures Ltd	SELL	(44,591)	Ordinary	(15,429)	(15,429)	N/A
1/3/2020	Merrill Lynch (Australia) Futures Ltd	BUY	8,348	Ordinary	2,919	2,919	N/A
1/6/2020	Merrill Lynch (Australia) Futures Ltd	SELL	(6,281)	Ordinary	(2,166)	(2,166)	N/A
1/6/2020	Merrill Lynch (Australia) Futures Ltd	SELL	(36,485)	Ordinary	(12,530)	(12,530)	N/A
1/6/2020	Merrill Lynch (Australia) Futures Ltd	BUY	537	Ordinary	185	185	N/A
1/7/2020	Merrill Lynch (Australia) Futures Ltd	BUY	838	Ordinary	283	283	N/A
1/7/2020	Merrill Lynch (Australia) Futures Ltd	SELL	(3,823)	Ordinary	(1,296)	(1,296)	N/A
1/7/2020	Merrill Lynch (Australia) Futures Ltd	BUY	15,948	Ordinary	5,371	5,371	N/A
1/7/2020	Merrill Lynch (Australia) Futures Ltd	BUY	2,960,000	Ordinary	1,000,000	1,000,000	N/A
1/2/2020	Merrill Lynch (Australia) Futures Ltd	SELL	(447)	Ordinary	(153)	(153)	N/A
9/9/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(4,191)	Ordinary	(1,100)	(1,100)	N/A
9/9/2019	Merrill Lynch (Australia) Futures Ltd	BUY	7,191	Ordinary	1,874	1,874	N/A

9/10/2019	Merrill Lynch (Australia) Futures Ltd	BUY	6,503	Ordinary	1,822	1,822	N/A
9/10/2019	Merrill Lynch (Australia) Futures Ltd	BUY	687	Ordinary	192	192	N/A
9/11/2019	Merrill Lynch (Australia) Futures Ltd	BUY	6,187	Ordinary	1,789	1,789	N/A
9/11/2019	Merrill Lynch (Australia) Futures Ltd	BUY	2,139	Ordinary	629	629	N/A
9/12/2019	Merrill Lynch (Australia) Futures Ltd	BUY	136	Ordinary	41	41	N/A
9/12/2019	Merrill Lynch (Australia) Futures Ltd	BUY	20	Ordinary	6	6	N/A
9/13/2019	Merrill Lynch (Australia) Futures Ltd	BUY	6,215	Ordinary	1,811	1,811	N/A
9/13/2019	Merrill Lynch (Australia) Futures Ltd	BUY	7,163	Ordinary	2,017	2,017	N/A
9/16/2019	Merrill Lynch (Australia) Futures Ltd	BUY	6,083	Ordinary	1,805	1,805	N/A
9/16/2019	Merrill Lynch (Australia) Futures Ltd	BUY	5,066	Ordinary	1,496	1,496	N/A
9/17/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(3,092)	Ordinary	(866)	(866)	N/A
9/17/2019	Merrill Lynch (Australia) Futures Ltd	BUY	5,771	Ordinary	1,581	1,581	N/A
9/18/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(16,863)	Ordinary	(4,269)	(4,269)	N/A
9/18/2019	Merrill Lynch (Australia) Futures Ltd	BUY	5,112	Ordinary	1,312	1,312	N/A
9/18/2019	Merrill Lynch (Australia) Futures Ltd	BUY	4,818	Ordinary	1,258	1,258	N/A
9/19/2019	Merrill Lynch (Australia) Futures Ltd	BUY	5,484	Ordinary	1,469	1,469	N/A
9/19/2019	Merrill Lynch (Australia) Futures Ltd	BUY	3,631	Ordinary	974	974	N/A
9/20/2019	Merrill Lynch (Australia) Futures Ltd	BUY	4,050	Ordinary	1,097	1,097	N/A
9/20/2019	Merrill Lynch (Australia) Futures Ltd	BUY	3,550	Ordinary	957	957	N/A
9/23/2019	Merrill Lynch (Australia) Futures Ltd	BUY	6,515	Ordinary	1,729	1,729	N/A
9/24/2019	Merrill Lynch (Australia) Futures Ltd	BUY	2,797,500	Ordinary	750,000	750,000	N/A
9/25/2019	Merrill Lynch (Australia) Futures Ltd	BUY	5,163	Ordinary	1,403	1,403	N/A

9/25/2019	Merrill Lynch (Australia) Futures Ltd	BUY	2,254	Ordinary	613	613	N/A
9/26/2019	Merrill Lynch (Australia) Futures Ltd	BUY	4,022	Ordinary	1,108	1,108	N/A
9/27/2019	Merrill Lynch (Australia) Futures Ltd	BUY	4,597	Ordinary	1,314	1,314	N/A
9/27/2019	Merrill Lynch (Australia) Futures Ltd	BUY	2,380	Ordinary	700	700	N/A
9/30/2019	Merrill Lynch (Australia) Futures Ltd	BUY	884	Ordinary	254	254	N/A
9/30/2019	Merrill Lynch (Australia) Futures Ltd	BUY	3,067	Ordinary	900	900	N/A
9/30/2019	Merrill Lynch (Australia) Futures Ltd	BUY	30,914	Ordinary	9,228	9,228	N/A
10/1/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(25,143)	Ordinary	(7,193)	(7,193)	N/A
10/1/2019	Merrill Lynch (Australia) Futures Ltd	BUY	5,783	Ordinary	1,657	1,657	N/A
10/1/2019	Merrill Lynch (Australia) Futures Ltd	BUY	2,129	Ordinary	593	593	N/A
10/1/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(5,334)	Ordinary	(1,497)	(1,497)	N/A
10/1/2019	Merrill Lynch (Australia) Futures Ltd	BUY	4,296,000	Ordinary	1,200,000	1,200,000	N/A
10/2/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(17,945)	Ordinary	(5,127)	(5,127)	N/A
10/2/2019	Merrill Lynch (Australia) Futures Ltd	BUY	4,758	Ordinary	1,348	1,348	N/A
10/2/2019	Merrill Lynch (Australia) Futures Ltd	BUY	5,047	Ordinary	1,447	1,447	N/A
10/3/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(41)	Ordinary	(12)	(12)	N/A
10/3/2019	Merrill Lynch (Australia) Futures Ltd	BUY	1,869	Ordinary	563	563	N/A
10/3/2019	Merrill Lynch (Australia) Futures Ltd	BUY	14,593	Ordinary	4,400	4,400	N/A
10/3/2019	Merrill Lynch (Australia) Futures Ltd	BUY	11,293,838	Ordinary	3,467,239	3,467,239	N/A
10/4/2019	Merrill Lynch (Australia) Futures Ltd	BUY	1,924	Ordinary	571	571	N/A
10/4/2019	Merrill Lynch (Australia) Futures Ltd	BUY	739,919	Ordinary	218,911	218,911	N/A

10/7/2019	Merrill Lynch (Australia) Futures Ltd	BUY	1,702	Ordinary	500	500	N/A
10/8/2019	Merrill Lynch (Australia) Futures Ltd	BUY	22,373	Ordinary	6,567	6,567	N/A
10/8/2019	Merrill Lynch (Australia) Futures Ltd	BUY	303	Ordinary	89	89	N/A
10/8/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(7,139)	Ordinary	(2,092)	(2,092)	N/A
10/8/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(9,214)	Ordinary	(2,708)	(2,708)	N/A
10/9/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(9,211)	Ordinary	(2,685)	(2,685)	N/A
10/9/2019	Merrill Lynch (Australia) Futures Ltd	BUY	1,499	Ordinary	437	437	N/A
10/9/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(6,108)	Ordinary	(1,787)	(1,787)	N/A
10/10/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(3,137)	Ordinary	(895)	(895)	N/A
10/10/2019	Merrill Lynch (Australia) Futures Ltd	BUY	21,718	Ordinary	6,295	6,295	N/A
10/10/2019	Merrill Lynch (Australia) Futures Ltd	BUY	5,799	Ordinary	1,670	1,670	N/A
10/10/2019	Merrill Lynch (Australia) Futures Ltd	BUY	1,079	Ordinary	303	303	N/A
10/10/2019	Merrill Lynch (Australia) Futures Ltd	BUY	7,314,359	Ordinary	2,120,104	2,120,104	N/A
10/11/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(24,838)	Ordinary	(7,175)	(7,175)	N/A
10/11/2019	Merrill Lynch (Australia) Futures Ltd	BUY	972	Ordinary	285	285	N/A
10/11/2019	Merrill Lynch (Australia) Futures Ltd	BUY	4,420	Ordinary	1,300	1,300	N/A
10/11/2019	Merrill Lynch (Australia) Futures Ltd	BUY	53,343	Ordinary	15,952	15,952	N/A
10/11/2019	Merrill Lynch (Australia) Futures Ltd	BUY	1,665,000	Ordinary	500,000	500,000	N/A
10/14/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(3,882)	Ordinary	(1,178)	(1,178)	N/A
10/14/2019	Merrill Lynch (Australia) Futures Ltd	BUY	3,873	Ordinary	1,163	1,163	N/A
10/14/2019	Merrill Lynch (Australia) Futures Ltd	BUY	1,344	Ordinary	406	406	N/A

10/14/2019	Merrill Lynch (Australia) Futures Ltd	BUY	16,153	Ordinary	5,000	5,000	N/A
10/14/2019	Merrill Lynch (Australia) Futures Ltd	BUY	3,214	Ordinary	1,000	1,000	N/A
10/14/2019	Merrill Lynch (Australia) Futures Ltd	BUY	593,850	Ordinary	185,000	185,000	N/A
10/15/2019	Merrill Lynch (Australia) Futures Ltd	BUY	3,514	Ordinary	1,100	1,100	N/A
10/15/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(8,116)	Ordinary	(2,449)	(2,449)	N/A
10/15/2019	Merrill Lynch (Australia) Futures Ltd	BUY	2,181	Ordinary	669	669	N/A
10/15/2019	Merrill Lynch (Australia) Futures Ltd	BUY	1,757	Ordinary	544	544	N/A
10/15/2019	Merrill Lynch (Australia) Futures Ltd	BUY	1,482	Ordinary	463	463	N/A
10/15/2019	Merrill Lynch (Australia) Futures Ltd	BUY	193,800	Ordinary	60,000	60,000	N/A
10/16/2019	Merrill Lynch (Australia) Futures Ltd	BUY	1,926	Ordinary	560	560	N/A
10/16/2019	Merrill Lynch (Australia) Futures Ltd	BUY	12,252	Ordinary	3,618	3,618	N/A
10/16/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(1,307)	Ordinary	(389)	(389)	N/A
10/16/2019	Merrill Lynch (Australia) Futures Ltd	BUY	1,249	Ordinary	389	389	N/A
10/16/2019	Merrill Lynch (Australia) Futures Ltd	BUY	1,036	Ordinary	303	303	N/A
10/16/2019	Merrill Lynch (Australia) Futures Ltd	BUY	17,071	Ordinary	5,021	5,021	N/A
10/17/2019	Merrill Lynch (Australia) Futures Ltd	BUY	10,343	Ordinary	3,106	3,106	N/A
10/17/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(1,810)	Ordinary	(544)	(544)	N/A
10/17/2019	Merrill Lynch (Australia) Futures Ltd	BUY	541	Ordinary	163	163	N/A
10/17/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(1,002)	Ordinary	(301)	(301)	N/A
10/17/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(1,677)	Ordinary	(505)	(505)	N/A
10/17/2019	Merrill Lynch (Australia) Futures Ltd	BUY	5,252	Ordinary	1,596	1,596	N/A

10/18/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(10,196)	Ordinary	(2,871)	(2,871)	N/A
10/18/2019	Merrill Lynch (Australia) Futures Ltd	BUY	1,103	Ordinary	315	315	N/A
10/18/2019	Merrill Lynch (Australia) Futures Ltd	BUY	55,295	Ordinary	15,313	15,313	N/A
10/18/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(14,532)	Ordinary	(4,152)	(4,152)	N/A
10/18/2019	Merrill Lynch (Australia) Futures Ltd	BUY	564	Ordinary	157	157	N/A
10/18/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(8,663)	Ordinary	(2,440)	(2,440)	N/A
10/18/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(6,591)	Ordinary	(1,863)	(1,863)	N/A
10/18/2019	Merrill Lynch (Australia) Futures Ltd	BUY	1,095	Ordinary	311	311	N/A
10/18/2019	Merrill Lynch (Australia) Futures Ltd	BUY	13,281	Ordinary	3,700	3,700	N/A
10/18/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(1,743,004)	Ordinary	(491,971)	(491,971)	N/A
10/21/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(3,624)	Ordinary	(1,015)	(1,015)	N/A
10/21/2019	Merrill Lynch (Australia) Futures Ltd	BUY	7,289	Ordinary	2,065	2,065	N/A
10/21/2019	Merrill Lynch (Australia) Futures Ltd	BUY	3,808	Ordinary	1,091	1,091	N/A
10/21/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(4,444)	Ordinary	(1,238)	(1,238)	N/A
10/21/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(9,612)	Ordinary	(2,700)	(2,700)	N/A
10/21/2019	Merrill Lynch (Australia) Futures Ltd	BUY	7,612	Ordinary	2,200	2,200	N/A
10/22/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(16,881)	Ordinary	(4,521)	(4,521)	N/A
10/22/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(16,470)	Ordinary	(4,500)	(4,500)	N/A
10/22/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(7,391)	Ordinary	(2,053)	(2,053)	N/A
10/22/2019	Merrill Lynch (Australia) Futures Ltd	BUY	1,383	Ordinary	380	380	N/A
10/22/2019	Merrill Lynch (Australia) Futures Ltd	BUY	53,470	Ordinary	14,034	14,034	N/A

10/22/2019	Merrill Lynch (Australia) Futures Ltd	BUY	28,744	Ordinary	7,761	7,761	N/A
10/22/2019	Merrill Lynch (Australia) Futures Ltd	BUY	8,248	Ordinary	2,203	2,203	N/A
10/22/2019	Merrill Lynch (Australia) Futures Ltd	BUY	14,530	Ordinary	3,885	3,885	N/A
10/23/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(8,185)	Ordinary	(2,196)	(2,196)	N/A
10/23/2019	Merrill Lynch (Australia) Futures Ltd	BUY	2,019	Ordinary	543	543	N/A
10/23/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(1,932)	Ordinary	(525)	(525)	N/A
10/23/2019	Merrill Lynch (Australia) Futures Ltd	BUY	46	Ordinary	12	12	N/A
10/23/2019	Merrill Lynch (Australia) Futures Ltd	BUY	679	Ordinary	184	184	N/A
10/23/2019	Merrill Lynch (Australia) Futures Ltd	BUY	3,724	Ordinary	1,001	1,001	N/A
10/23/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(8,402)	Ordinary	(2,211)	(2,211)	N/A
10/23/2019	Merrill Lynch (Australia) Futures Ltd	BUY	16,214	Ordinary	4,391	4,391	N/A
10/24/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(10,102)	Ordinary	(2,724)	(2,724)	N/A
10/24/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(9,407)	Ordinary	(2,542)	(2,542)	N/A
10/24/2019	Merrill Lynch (Australia) Futures Ltd	BUY	748	Ordinary	200	200	N/A
10/24/2019	Merrill Lynch (Australia) Futures Ltd	BUY	1,665	Ordinary	445	445	N/A
10/24/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(2,959)	Ordinary	(804)	(804)	N/A
10/24/2019	Merrill Lynch (Australia) Futures Ltd	BUY	159	Ordinary	43	43	N/A
10/25/2019	Merrill Lynch (Australia) Futures Ltd	BUY	2,101	Ordinary	563	563	N/A
10/25/2019	Merrill Lynch (Australia) Futures Ltd	BUY	102	Ordinary	28	28	N/A
10/25/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(5,319)	Ordinary	(1,470)	(1,470)	N/A
10/25/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(6,971)	Ordinary	(1,849)	(1,849)	N/A

10/25/2019	Merrill Lynch (Australia) Futures Ltd	BUY	3,421	Ordinary	920	920	N/A
10/25/2019	Merrill Lynch (Australia) Futures Ltd	BUY	720	Ordinary	192	192	N/A
10/28/2019	Merrill Lynch (Australia) Futures Ltd	BUY	728	Ordinary	201	201	N/A
10/28/2019	Merrill Lynch (Australia) Futures Ltd	BUY	1,815	Ordinary	517	517	N/A
10/28/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(15,838)	Ordinary	(4,449)	(4,449)	N/A
10/28/2019	Merrill Lynch (Australia) Futures Ltd	BUY	8,215	Ordinary	2,359	2,359	N/A
10/28/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(1,373)	Ordinary	(386)	(386)	N/A
10/28/2019	Merrill Lynch (Australia) Futures Ltd	BUY	3,516	Ordinary	1,000	1,000	N/A
10/29/2019	Merrill Lynch (Australia) Futures Ltd	BUY	727	Ordinary	210	210	N/A
10/29/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(9,509)	Ordinary	(2,749)	(2,749)	N/A
10/29/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(3,903)	Ordinary	(1,138)	(1,138)	N/A
10/29/2019	Merrill Lynch (Australia) Futures Ltd	BUY	89	Ordinary	26	26	N/A
10/29/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(1,885)	Ordinary	(543)	(543)	N/A
10/30/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(1,166)	Ordinary	(351)	(351)	N/A
10/30/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(82,825)	Ordinary	(24,328)	(24,328)	N/A
10/30/2019	Merrill Lynch (Australia) Futures Ltd	BUY	1,567	Ordinary	461	461	N/A
10/30/2019	Merrill Lynch (Australia) Futures Ltd	BUY	2,397	Ordinary	722	722	N/A
10/30/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(4,857)	Ordinary	(1,452)	(1,452)	N/A
10/30/2019	Merrill Lynch (Australia) Futures Ltd	BUY	493	Ordinary	145	145	N/A
10/30/2019	Merrill Lynch (Australia) Futures Ltd	BUY	1,669	Ordinary	500	500	N/A
10/31/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(6,013)	Ordinary	(1,718)	(1,718)	N/A

10/31/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(5,276)	Ordinary	(1,530)	(1,530)	N/A
10/31/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(5,025)	Ordinary	(1,500)	(1,500)	N/A
10/31/2019	Merrill Lynch (Australia) Futures Ltd	BUY	1,482	Ordinary	427	427	N/A
10/31/2019	Merrill Lynch (Australia) Futures Ltd	BUY	336	Ordinary	97	97	N/A
10/31/2019	Merrill Lynch (Australia) Futures Ltd	BUY	5,080	Ordinary	1,530	1,530	N/A
11/1/2019	Merrill Lynch (Australia) Futures Ltd	BUY	19,044	Ordinary	5,668	5,668	N/A
11/4/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(1,407)	Ordinary	(420)	(420)	N/A
11/4/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(17,297)	Ordinary	(5,215)	(5,215)	N/A
11/4/2019	Merrill Lynch (Australia) Futures Ltd	BUY	13,022	Ordinary	3,884	3,884	N/A
11/5/2019	Merrill Lynch (Australia) Futures Ltd	BUY	3,280	Ordinary	982	982	N/A
11/5/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(17,394)	Ordinary	(5,303)	(5,303)	N/A
11/6/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(51)	Ordinary	(16)	(16)	N/A
11/6/2019	Merrill Lynch (Australia) Futures Ltd	BUY	121	Ordinary	38	38	N/A
11/6/2019	Merrill Lynch (Australia) Futures Ltd	BUY	665	Ordinary	202	202	N/A
11/6/2019	Merrill Lynch (Australia) Futures Ltd	BUY	13,844	Ordinary	4,297	4,297	N/A
11/7/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(4,236)	Ordinary	(1,371)	(1,371)	N/A
11/7/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(1,283)	Ordinary	(414)	(414)	N/A
11/7/2019	Merrill Lynch (Australia) Futures Ltd	BUY	10,434	Ordinary	3,383	3,383	N/A
11/8/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(845)	Ordinary	(274)	(274)	N/A
11/8/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(21,002)	Ordinary	(6,895)	(6,895)	N/A
11/8/2019	Merrill Lynch (Australia) Futures Ltd	BUY	10,271	Ordinary	3,362	3,362	N/A

11/11/2019	Merrill Lynch (Australia) Futures Ltd	BUY	3,184	Ordinary	1,049	1,049	N/A
11/11/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(673)	Ordinary	(223)	(223)	N/A
11/11/2019	Merrill Lynch (Australia) Futures Ltd	BUY	5,731	Ordinary	1,900	1,900	N/A
11/11/2019	Merrill Lynch (Australia) Futures Ltd	BUY	721	Ordinary	238	238	N/A
11/11/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(9,342)	Ordinary	(3,063)	(3,063)	N/A
11/11/2019	Merrill Lynch (Australia) Futures Ltd	BUY	14,529	Ordinary	4,800	4,800	N/A
11/12/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(570)	Ordinary	(184)	(184)	N/A
11/12/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(46)	Ordinary	(15)	(15)	N/A
11/12/2019	Merrill Lynch (Australia) Futures Ltd	BUY	18,359	Ordinary	6,079	6,079	N/A
11/12/2019	Merrill Lynch (Australia) Futures Ltd	BUY	13,363	Ordinary	4,332	4,332	N/A
11/12/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(5,890)	Ordinary	(1,900)	(1,900)	N/A
11/12/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(20,355)	Ordinary	(6,616)	(6,616)	N/A
11/13/2019	Merrill Lynch (Australia) Futures Ltd	BUY	1,383	Ordinary	446	446	N/A
11/13/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(1,968)	Ordinary	(643)	(643)	N/A
11/13/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(18,168)	Ordinary	(6,025)	(6,025)	N/A
11/13/2019	Merrill Lynch (Australia) Futures Ltd	BUY	433	Ordinary	144	144	N/A
11/14/2019	Merrill Lynch (Australia) Futures Ltd	BUY	24,092	Ordinary	8,036	8,036	N/A
11/14/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(1,019)	Ordinary	(340)	(340)	N/A
11/14/2019	Merrill Lynch (Australia) Futures Ltd	BUY	690	Ordinary	230	230	N/A
11/14/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(12,909)	Ordinary	(4,200)	(4,200)	N/A
11/18/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(690)	Ordinary	(238)	(238)	N/A

11/18/2019	Merrill Lynch (Australia) Futures Ltd	BUY	937	Ordinary	330	330	N/A
11/18/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(82,605)	Ordinary	(29,099)	(29,099)	N/A
11/18/2019	Merrill Lynch (Australia) Futures Ltd	BUY	2,529	Ordinary	900	900	N/A
11/18/2019	Merrill Lynch (Australia) Futures Ltd	BUY	83,805	Ordinary	29,099	29,099	N/A
11/19/2019	Merrill Lynch (Australia) Futures Ltd	BUY	69,211	Ordinary	23,866	23,866	N/A
11/19/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(597)	Ordinary	(206)	(206)	N/A
11/19/2019	Merrill Lynch (Australia) Futures Ltd	BUY	806	Ordinary	278	278	N/A
11/19/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(3,480,000)	Ordinary	(1,200,000)	(1,200,000)	N/A
11/20/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(1,218)	Ordinary	(406)	(406)	N/A
11/20/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(58,456)	Ordinary	(19,706)	(19,706)	N/A
11/20/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(9,600)	Ordinary	(3,200)	(3,200)	N/A
11/20/2019	Merrill Lynch (Australia) Futures Ltd	BUY	2,057	Ordinary	694	694	N/A
11/21/2019	Merrill Lynch (Australia) Futures Ltd	BUY	39,499	Ordinary	14,281	14,281	N/A
11/21/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(12,056)	Ordinary	(4,229)	(4,229)	N/A
11/21/2019	Merrill Lynch (Australia) Futures Ltd	BUY	8,471	Ordinary	2,911	2,911	N/A
11/21/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(26,747)	Ordinary	(9,647)	(9,647)	N/A
11/21/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(16,620,000)	Ordinary	(6,000,000)	(6,000,000)	N/A
11/22/2019	Merrill Lynch (Australia) Futures Ltd	BUY	18,795	Ordinary	7,058	7,058	N/A
11/22/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(1,510)	Ordinary	(560)	(560)	N/A
11/22/2019	Merrill Lynch (Australia) Futures Ltd	BUY	9,470	Ordinary	3,535	3,535	N/A
11/22/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(7,861)	Ordinary	(2,838)	(2,838)	N/A

11/22/2019	Merrill Lynch (Australia) Futures Ltd	BUY	6,225	Ordinary	2,334	2,334	N/A
11/22/2019	Merrill Lynch (Australia) Futures Ltd	BUY	3,787	Ordinary	1,417	1,417	N/A
11/22/2019	Merrill Lynch (Australia) Futures Ltd	BUY	1,345,000	Ordinary	500,000	500,000	N/A
11/25/2019	Merrill Lynch (Australia) Futures Ltd	BUY	11,171	Ordinary	3,913	3,913	N/A
11/25/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(8,724)	Ordinary	(3,156)	(3,156)	N/A
11/25/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(14,763)	Ordinary	(5,163)	(5,163)	N/A
11/25/2019	Merrill Lynch (Australia) Futures Ltd	BUY	7,786	Ordinary	3,018	3,018	N/A
11/25/2019	Merrill Lynch (Australia) Futures Ltd	BUY	6,311	Ordinary	2,446	2,446	N/A
11/26/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(2,090)	Ordinary	(711)	(711)	N/A
11/26/2019	Merrill Lynch (Australia) Futures Ltd	BUY	24,407	Ordinary	8,440	8,440	N/A
11/26/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(2,716)	Ordinary	(927)	(927)	N/A
11/26/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(10,993)	Ordinary	(4,054)	(4,054)	N/A
11/26/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(5,812)	Ordinary	(2,018)	(2,018)	N/A
11/26/2019	Merrill Lynch (Australia) Futures Ltd	BUY	15,610	Ordinary	5,760	5,760	N/A
11/27/2019	Merrill Lynch (Australia) Futures Ltd	BUY	759	Ordinary	269	269	N/A
11/27/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(9,645)	Ordinary	(3,456)	(3,456)	N/A
11/27/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(50,062)	Ordinary	(17,828)	(17,828)	N/A
11/28/2019	Merrill Lynch (Australia) Futures Ltd	BUY	10,802	Ordinary	3,712	3,712	N/A
11/28/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(5,645)	Ordinary	(1,940)	(1,940)	N/A
11/28/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(2,710)	Ordinary	(925)	(925)	N/A
11/29/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(9,953)	Ordinary	(3,593)	(3,593)	N/A

11/29/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(5,433)	Ordinary	(1,933)	(1,933)	N/A
11/29/2019	Merrill Lynch (Australia) Futures Ltd	BUY	8,792	Ordinary	3,207	3,207	N/A
11/29/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(475)	Ordinary	(171)	(171)	N/A
11/29/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(10,191)	Ordinary	(3,478)	(3,478)	N/A
11/29/2019	Merrill Lynch (Australia) Futures Ltd	BUY	58	Ordinary	21	21	N/A
11/29/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(2,247)	Ordinary	(798)	(798)	N/A
11/29/2019	Merrill Lynch (Australia) Futures Ltd	BUY	10,146	Ordinary	3,617	3,617	N/A
11/29/2019	Merrill Lynch (Australia) Futures Ltd	BUY	61,505	Ordinary	22,204	22,204	N/A
12/2/2019	Merrill Lynch (Australia) Futures Ltd	BUY	912	Ordinary	328	328	N/A
12/2/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(45,270)	Ordinary	(14,409)	(14,409)	N/A
12/2/2019	Merrill Lynch (Australia) Futures Ltd	BUY	85,578	Ordinary	28,083	28,083	N/A
12/2/2019	Merrill Lynch (Australia) Futures Ltd	BUY	24,266	Ordinary	7,696	7,696	N/A
12/2/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(9,197)	Ordinary	(3,005)	(3,005)	N/A
12/2/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(14,439)	Ordinary	(4,897)	(4,897)	N/A
12/2/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(5,141)	Ordinary	(1,800)	(1,800)	N/A
12/2/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(89)	Ordinary	(29)	(29)	N/A
12/2/2019	Merrill Lynch (Australia) Futures Ltd	BUY	9,435	Ordinary	3,000	3,000	N/A
12/3/2019	Merrill Lynch (Australia) Futures Ltd	BUY	4,837,000	Ordinary	1,825,283	1,825,283	N/A
12/3/2019	Merrill Lynch (Australia) Futures Ltd	BUY	20,991	Ordinary	6,610	6,610	N/A
12/3/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(13,131)	Ordinary	(4,023)	(4,023)	N/A
12/3/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(22,463)	Ordinary	(6,845)	(6,845)	N/A

12/3/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(6,896)	Ordinary	(2,143)	(2,143)	N/A
12/3/2019	Merrill Lynch (Australia) Futures Ltd	BUY	18,130	Ordinary	5,494	5,494	N/A
12/3/2019	Merrill Lynch (Australia) Futures Ltd	BUY	3,433	Ordinary	1,072	1,072	N/A
12/3/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(38,759)	Ordinary	(12,140)	(12,140)	N/A
12/3/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(30,720)	Ordinary	(9,433)	(9,433)	N/A
12/4/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(741)	Ordinary	(247)	(247)	N/A
12/4/2019	Merrill Lynch (Australia) Futures Ltd	BUY	12,111	Ordinary	3,916	3,916	N/A
12/4/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(77,618)	Ordinary	(25,639)	(25,639)	N/A
12/4/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(1,720)	Ordinary	(536)	(536)	N/A
12/5/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(2,361)	Ordinary	(774)	(774)	N/A
12/5/2019	Merrill Lynch (Australia) Futures Ltd	BUY	5,686	Ordinary	1,889	1,889	N/A
12/5/2019	Merrill Lynch (Australia) Futures Ltd	BUY	8,539	Ordinary	2,829	2,829	N/A
12/5/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(7,922)	Ordinary	(2,584)	(2,584)	N/A
12/5/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(1,662)	Ordinary	(536)	(536)	N/A
12/6/2019	Merrill Lynch (Australia) Futures Ltd	BUY	20,215	Ordinary	6,802	6,802	N/A
12/6/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(4,387)	Ordinary	(1,492)	(1,492)	N/A
12/6/2019	Merrill Lynch (Australia) Futures Ltd	BUY	36	Ordinary	12	12	N/A
12/9/2019	Merrill Lynch (Australia) Futures Ltd	BUY	7,733	Ordinary	2,666	2,666	N/A
12/9/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(1,958)	Ordinary	(668)	(668)	N/A
12/9/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(9,417)	Ordinary	(3,186)	(3,186)	N/A
12/9/2019	Merrill Lynch (Australia) Futures Ltd	BUY	894	Ordinary	318	318	N/A

12/9/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(30,476)	Ordinary	(10,761)	(10,761)	N/A
12/10/2019	Merrill Lynch (Australia) Futures Ltd	BUY	31,324	Ordinary	11,599	11,599	N/A
12/10/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(3,528)	Ordinary	(1,250)	(1,250)	N/A
12/10/2019	Merrill Lynch (Australia) Futures Ltd	BUY	2,101	Ordinary	778	778	N/A
12/10/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(4,117)	Ordinary	(1,513)	(1,513)	N/A
12/10/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(4,367)	Ordinary	(1,588)	(1,588)	N/A
12/11/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(23,609)	Ordinary	(8613)	(8613)	N/A
12/11/2019	Merrill Lynch (Australia) Futures Ltd	BUY	5,979	Ordinary	2198	2198	N/A
12/11/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(884)	Ordinary	(318)	(318)	N/A
12/11/2019	Merrill Lynch (Australia) Futures Ltd	BUY	152	Ordinary	56	56	N/A
12/12/2019	Merrill Lynch (Australia) Futures Ltd	BUY	17,849	Ordinary	6529	6529	N/A
12/12/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(6,899)	Ordinary	(2518)	(2518)	N/A
12/12/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(16,579)	Ordinary	(6058)	(6058)	N/A
12/13/2019	Merrill Lynch (Australia) Futures Ltd	BUY	11,785	Ordinary	4414	4414	N/A
12/13/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(3,697)	Ordinary	(1330)	(1330)	N/A
12/13/2019	Merrill Lynch (Australia) Futures Ltd	BUY	5,736	Ordinary	2115	2115	N/A
12/13/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(16,819)	Ordinary	(6305)	(6305)	N/A
12/13/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(2,133)	Ordinary	(796)	(796)	N/A
12/13/2019	Merrill Lynch (Australia) Futures Ltd	BUY	3,471	Ordinary	1300	1300	N/A
12/13/2019	Merrill Lynch (Australia) Futures Ltd	BUY	267,000	Ordinary	100000	100000	N/A
12/16/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(9,011)	Ordinary	(3300)	(3300)	N/A
12/16/2019	Merrill Lynch (Australia) Futures Ltd	BUY	1,754	Ordinary	652	652	N/A
12/16/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(11,017)	Ordinary	(4046)	(4046)	N/A
12/16/2019	Merrill Lynch (Australia) Futures Ltd	BUY	2,338	Ordinary	869	869	N/A
12/16/2019	Merrill Lynch (Australia) Futures Ltd	BUY	20,400	Ordinary	7396	7396	N/A
12/16/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(2,208,000)	Ordinary	(800000)	(800000)	N/A
12/17/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(4,813)	Ordinary	(1744)	(1744)	N/A
12/17/2019	Merrill Lynch (Australia) Futures Ltd	BUY	11,802	Ordinary	4195	4195	N/A
12/17/2019	Merrill Lynch (Australia) Futures Ltd	BUY	2,421	Ordinary	861	861	N/A
12/18/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(2,485)	Ordinary	(878)	(878)	N/A
12/18/2019	Merrill Lynch (Australia) Futures Ltd	BUY	229	Ordinary	83	83	N/A
12/18/2019	Merrill Lynch (Australia) Futures Ltd	BUY	1,053	Ordinary	382	382	N/A
12/18/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(16,640)	Ordinary	(6034)	(6034)	N/A
12/19/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(1,847)	Ordinary	(664)	(664)	N/A

12/19/2019	Merrill Lynch (Australia) Futures Ltd	BUY	4,722	Ordinary	1709	1709	N/A
12/19/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(3,859)	Ordinary	(1383)	(1383)	N/A
12/19/2019	Merrill Lynch (Australia) Futures Ltd	BUY	64,212	Ordinary	23268	23268	N/A
12/19/2019	Merrill Lynch (Australia) Futures Ltd	BUY	4,642	Ordinary	1682	1682	N/A
12/19/2019	Merrill Lynch (Australia) Futures Ltd	BUY	61,102	Ordinary	22134	22134	N/A
12/20/2019	Merrill Lynch (Australia) Futures Ltd	BUY	104,547	Ordinary	36849	36849	N/A
12/20/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(36,119)	Ordinary	(12705)	(12705)	N/A
12/20/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(852)	Ordinary	(296)	(296)	N/A
12/20/2019	Merrill Lynch (Australia) Futures Ltd	BUY	5,751	Ordinary	2002	2002	N/A
12/20/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(3,943)	Ordinary	(1369)	(1369)	N/A
12/23/2019	Merrill Lynch (Australia) Futures Ltd	BUY	3,704	Ordinary	1309	1309	N/A
12/23/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(10,736)	Ordinary	(3708)	(3708)	N/A
12/23/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(31,607)	Ordinary	(11168)	(11168)	N/A
12/23/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(657)	Ordinary	(232)	(232)	N/A
12/23/2019	Merrill Lynch (Australia) Futures Ltd	BUY	59,770	Ordinary	21120	21120	N/A
12/23/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(3,085)	Ordinary	(1094)	(1094)	N/A
12/24/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(31,184)	Ordinary	(10719)	(10719)	N/A
12/24/2019	Merrill Lynch (Australia) Futures Ltd	BUY	16,025	Ordinary	5488	5488	N/A
12/24/2019	Merrill Lynch (Australia) Futures Ltd	SELL	(3,374)	Ordinary	(1143)	(1143)	N/A
12/27/2019	Merrill Lynch (Australia) Futures Ltd	BUY	3,885	Ordinary	1302	1302	N/A

Appendix B-1: Prescribed information

Type of agreement	International Prime Brokerage Agreement
Parties to agreement	Merrill Lynch International, Merrill Lynch International (Australia) Limited and Equity Trustees Limited As Trustee And Responsible Entity Of Regal Investment Fund
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-2: Prescribed information

Type of agreement	International Prime Brokerage Agreement
Parties to agreement	Merrill Lynch International and Integrated Core Strategies (Asia)
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-3: Prescribed information

Type of agreement	International Prime Brokerage Agreement
Parties to agreement	Merrill Lynch International, Merrill Lynch International (Australia) Limited and Regal Funds Management Pty Limited as Trustee of Regal Australian Long Short Equity Fund
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-4: Prescribed information

Type of agreement	International Prime Brokerage Agreement
Parties to agreement	Merrill Lynch International, Merrill Lynch International (Australia) Limited and Regal Funds Management Pty Limited as Trustee of Tasman Market Neutral Fund
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-5: Prescribed information

Type of agreement	International Prime Brokerage Agreement
Parties to agreement	Merrill Lynch International, Merrill Lynch International (Australia) Limited and Regal Funds Management Pty Limited as Trustee of Atlantic Absolute Return Fund
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-6: Prescribed information

Type of agreement	International Prime Brokerage Agreement
Parties to agreement	Merrill Lynch International, Merrill Lynch International (Australia) Limited and SSW-TRADING GMBH
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix B-7: Prescribed information

Type of agreement	International Prime Brokerage Agreement
Parties to agreement	Merrill Lynch International, Merrill Lynch International (Australia) Limited and Susquehanna Pacific Pty Ltd
Holder of voting rights	Securities borrower. Securities lender may instruct the registered holder to vote on securities.
Are there any restrictions on voting rights?	No
If yes, detail	N/A
Scheduled return date (if any)	On recall of the securities loan by the securities lender.
Does the borrower have the right to return early?	Yes
If yes, detail	Securities borrower will have right to return assets at any time.
Does the lender have the right to recall early?	Yes
If yes, detail	Securities lender will have right to recall assets at any time.
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	N/A

Appendix C

Related bodies corporate	Incorporated	ACN/Registration/Incorporation Number
Merrill Lynch International	United Kingdom	2312079
ML UK Capital Holdings	United Kingdom	4971231
BofAML EMEA Holdings 2 Limited	United States	119605
Merrill Lynch (Australia) Futures Limited	Australia	003639674
Merrill Lynch International (Australia) Limited	Australia	002892846
Merrill Lynch Markets (Australia) Pty. Limited	Australia	075587816
Merrill Lynch (Australasia) Pty. Limited	Australia	075923894
Bank of America Global Holdings, LLC	United States	5169939
BofAML Jersey Holdings Limited	Jersey	115831
NB Holdings Corporation	United States	2361372
Bank of America Corporation	United States	N/A628